0 INTRODUCTION

A new type of conical involute gear [1] and [2] (as shown in Fig. 1) is based on tangential modification or composite modification (includes the common modification and tangential modification; for distinguishing from the tangential modification, the common modification is called “radial modification” in this paper). When the gear is designed with composite modification, the radial modification coefficient is constant, and the tangential modification coefficient varies linearly along the axial direction. There is an angle between the two surfaces of the tooth; the backlash between two meshing surfaces can be adjusted by regulating the relative axial displacement of the meshing teeth, as shown in Figs. 2 and 3, so the vibration and noise can be weakened by eliminating or diminishing backlash. The characteristic of the unilateral tooth profile of this gear is similar to the involute helical gear.

In mechanical transmission, high mechanical efficiency can degrade heat generation, energy consumption, and air pollution [3] to [5]. Therefore, the friction loss is an important factor that should be taken into account during the design of gear transmission [6], chain system [7] and [8], and so on. The optimization of parameters and the utilization of high efficiency mechanical types are usually considered for heightening mechanical efficiency [3] and [5]. Recently, the application of new materials [9] and [10] and a mixture of different transmission types [11] has become an effective method to decrease friction loss in mechanical transmission.
The Calculation of Meshing Efficiency of a New Type of Conical Involute Gear

1. ANALYSIS OF MESHING PROCESS

The tooth surfaces of the new type of conical involute gear are helical because of the linearly variable tangential modification along the axial direction, as shown in Fig. 1. As illustrated in Fig. 4, the root of front transverse of pinion meshes first, and then the whole tooth comes into mesh until the top of the tooth in the back transverse departs from mesh. Currently, the influences of assembled and manufactured errors on efficiency in gear transmission are difficult to quantify, and the effects of the errors such as tiny eccentricity error on efficiency is very limited, so the assembled and manufactured errors are not considered in this analysis. Considering the factors such as tooth width, helical angle and length of meshing line, the probable meshing states can be expressed as seven types in Fig. 5. Defining the tangent point of the base circle and meshing line in the front transverse as the reference start, which is shown in Figs. 4 and 5, the distance between any meshing point and the reference start at any moment can be expressed as $l_x = r_b \tan \alpha_x$, which is indicated in Figs. 4 and 5.

Fig. 3. Unfolded figure at the cylindrical surface of pitch circle, a) without axial movement, b) with axial movement

Fig. 4. The meshing process in the front transverse

At any meshing position in the front transverse, the length of contact line can be represented as:

$$l_x = r_b \tan \alpha_x$$
where \( l_s, l_f, l_{ts} \) and \( l_{tf} \) can be obtained through the following expressions:

\[
\begin{align*}
\ell &= r_\beta \tan \alpha_s, & (2) \\
\ell &= r_\beta \tan \alpha_f, & (3) \\
\ell &= l_s + b \tan \beta, & (4) \\
\ell &= l_f + b \tan \beta. & (5)
\end{align*}
\]

In Eqs. (2) and (3), \( \alpha_s \) and \( \alpha_f \) can be computed based on the gear parameters.

At any meshing moment, the relative sliding velocity of any meshing point on the contact line can be expressed as:

\[
\begin{align*}
\nu_s &= \begin{cases}
(1 + 1 / i_0) \phi_l (l_0 - l_s + y \sin \beta) & l_s \in [l_s, l_0] \\
(1 + 1 / i_0) \phi_l (l_0 - l_s - y \sin \beta) & l_s \in [l_0, l_1] \\
(1 + 1 / i_0) \phi_l (l_1 - l_0 - y \sin \beta) & l_s \in [l_1, l_f] \\
\end{cases}
\end{align*}
\]

where \( \phi_l \) is the angular velocity of the pinion, \( l_s \) is distance between the meshing point and the reference start in the front transverse while the back transverse meshes across the pitch point, \( i_0, l_0 \) and \( l_1 \) can be obtained as below:

\[
\begin{align*}
i_0 &= z_2 / z_1, & (7) \\
l_0 &= r_\beta \tan \alpha, & (8) \\
l_1 &= l + b \tan \beta. & (9)
\end{align*}
\]

where \( \alpha \) is the engagement angle at pitch circle, and \( \alpha \) is 20° when the radial modification is zero, as shown in Fig. 5.

According to Eqs. (1) to (5), the length of contact line is available during a meshing circle, and the whole meshing process could be divided into many micro-segments, so the variation of the length of contact line is obtained. On the basis of Eq. (6), the relative sliding velocity of any meshing point on contact line is available, and its variation along the contact line and meshing line can be acquired by considering the gear consists of many micro-pieces along the axial direction.

2 THE CALCULATION OF MESHING EFFICIENCY

In actual application, if the input power is constant, the meshing efficiency can be derived by computing the power of sliding friction.

The power of sliding friction is caused by the common action of the relative sliding between the meshing surfaces and the load on the surfaces, which is also affected by the coefficient of friction between the surfaces, these relationships can be illustrated by the following expression:

\[
P_f = \mu F_v.
\]

In most of the engagement process, the number of meshing teeth is more than one, and the calculation of the total length of contact lines usually involves two or more pairs of teeth and their meshing position on the meshing line. According to Eq. (10), the power of sliding friction of meshing points varies instantaneously along with the position on contact line and meshing line.

2.1 Calculation of Unit Load

To simplify the calculation, the load distribution of teeth is thought to be uniform, which means the effect of the deformation and stiffness of the teeth is not considered, so the unit load can be expressed as:

\[
F_{ei} = \frac{M}{i_0 \sum_{k=1}^{N} L_k},
\]

where \( L_k \) is the length of the contact line of the \( k \)th meshing tooth, \( N \) is the number of the meshing teeth.

If the position of the meshing point in the front transverse of reference tooth is expressed as \( l_{x1} \), the position of the meshing point in the front transverse of the \( k \)th meshing tooth before the reference tooth can be derived by the formula below:

\[
l_{xt} = l_{x1} + k \ast p_b.
\]

Quoting the result of Eq. (12), the length of the contact line of the \( k \)th meshing tooth before the reference tooth can be derived with Eq. (11).

2.2 Calculation of Relative Sliding Velocity

Referring to the transformation mentioned above, the relative sliding velocity of any meshing point on the contact line at any meshing moment can be calculated with Eq. (6). Taking the front transverse
Fig. 5. The probable meshing states; a) the meshing point in front transverse doesn’t arrive at the pitch point and the tooth partly comes into mesh; b) the meshing point in front transverse has meshed across the pitch point, but the tooth does not totally come into mesh; c) the meshing point in front transverse does not arrive at the pitch point while the whole tooth is in mesh; d) the meshing point in front transverse has meshed across the pitch point, and the meshing point in back transverse has not arrived at the pitch point, while the whole tooth is in mesh; e) the meshing points in the back transverse have meshed across the pitch point while the whole tooth is in mesh; f) the meshing point in the back transverse has meshed across the pitch point while the tooth has partly meshed out; g) the meshing point in back transverse has not arrived at the pitch point while the tooth has partly meshed out.

The Calculation of Meshing Efficiency of a New Type of Conical Involute Gear
as the reference, the position of any meshing point on contact line along meshing line can be denoted as:

\[ l_{st} = l_{ct} + k^* p_e - y_k \sin \beta_b, \]  

(13)

where \( y_k \) is the distance between any meshing point of the \( k \)th meshing tooth to the front transverse along contact line.

So the relative sliding velocity of any meshing point on the contact line of the \( k \)th tooth at any moment can be derived by taking Eq. (13) into Eq. (6).

2.3 Friction Coefficient

The friction coefficient is an important factor in calculating the power of sliding friction, which depends on the load, parameters of gear, the surface roughness of tooth, lubrication, lubricant viscosity, and other factors. Although the empirical formulas for calculating the coefficient of friction were summarized with the theoretical derivation and experimental model in [23] to [33], these studies were usually had certain limitations because of the difficulties of actual test and the influence of multi-factors, so it is still difficult to propose a generally applicable formula and exactly test in practice. This paper referred the mean coefficient of friction in [4], [31] to [33], which is described as follows:

\[ \mu = 0.048 \left( \frac{F_e}{b} \right)^{0.2} \eta^{-0.05} R_s^{0.25} X_L, \]  

(14)

where \( X_L \) is the lubricant factor, considering \( X_L = 1 \) for mineral oil here [30] and [32], \( R_s \) is the surface roughness, and \( \nu_{zc} \) can be calculated as:

\[ \nu_{zc} = 2 \cdot \nu_1 \cdot \sin \alpha_0. \]

From Eq. (14), it can be observed that the friction coefficient is constant during the meshing process when the gear parameters and operations are definite. At the same time, the gear parameters and operations are the main determinants of the coefficient of friction. Thus, the influence of gear parameters and operations on meshing efficiency can be well studied. In contrast, the utilization of Eq. (14) is also helpful for comparing the computing results of efficiency with the results calculated by the method in [4].

2.4 The Computation of Meshing Efficiency

According to the computation mentioned before, setting the front transverse as reference, dividing the gear into \( m \) pieces along contact line and considering the meshing line made up of \( n \) segments, the instantaneous power of sliding friction loss of any micro gear piece can be expressed as:

\[ P_{fki} = \mu F_e \Delta \nu_{skij}. \]  

(15)

In Eq. (15), \( \nu_{skij} \) is the sliding velocity at any meshing point on the contact line, subscript \( k=1,2,\ldots,N \), which means the code of meshing teeth, and subscript \( i=1,2,\ldots,m, j=1,2,\ldots,n \), which indicate the position of the meshing point on the contact line and meshing line respectively. Thus, the power of the sliding friction of a single tooth at any meshing position is,

\[ P_{f} = \mu \sum_{k=1}^{N} F_e \Delta \nu_{skij}. \]  

(16)

Based on Eq. (16), the computation of total power of sliding friction at any meshing position is shown below:

\[ P_{fd} = \sum_{k=1}^{N} P_{fki}. \]  

(17)

Therefore, if the sliding friction is the main consideration, the instantaneous meshing efficiency can be computed as:

\[ \eta = 1 - \frac{P_{fd}}{P}. \]  

(18)

3 CALCULATION RESULTS OF NUMERICAL EXAMPLE

Based on the calculation of meshing efficiency during the meshing process derived above, the computation was carried out with detail gear parameters.

Table 1 illustrates the reference and variable value of modulus, helical angle and radial modification. When one parameter varies, the other parameters remain consistent with the reference value, so the analysis and calculation with single variation is available. The output torque is 50000 N·mm and input speed is 955.4 r/min when the discussions concentrate on the variation of gear parameters. Table 2 expresses the different output torque and input speed while the gear parameters are the reference values in Table 1.

Fig. 6 shows the variation of the length of contact line, the unit load, relative sliding velocity and meshing efficiency along the meshing line while the gear parameters are reference values, and the maximum number of meshing teeth is two. In Fig. 6a, the right side of lines 1, 2, and 3 indicates the reference tooth in a meshing period, and the left side of the lines participates the tooth before the reference tooth. The line 1 means the reference tooth is partly
The Calculation of Meshing Efficiency of a New Type of Conical Involute Gear

When the output torque is constant, the variation of the length of contact line is in contrast with the unit load. Fig. 6b shows the curve of meshing efficiency varying with the position of meshing point in the front transverse of the reference tooth along the meshing line. Referring to Fig. 6a, it can be observed that meshing efficiency is constant when there are two teeth meshing with the whole tooth. In this period, the contact lines of two teeth are at different sides of the pitch point, as the states illustrated in Figs. 5c and e, so the decrements of $e_x$ in Fig. 5c is equal to the increments of $e_y$ in Fig. 5e, which leads to the inverse variation of relative sliding velocity of two meshing teeth. Otherwise, the length of the contact line increases gradually partly during the mesh-in period in the front transverse because of the existence of helical angle, and the unit load decreases during this process. However, the meshing efficiency decreases in this period, meshing points are far from the pitch point, and the relative slide is serious. The same phenomenon also appears when the tooth before the reference tooth is meshing out, but the decrease of the length of contact line leads to the increase of meshing efficiency.

In Fig. 7 is shown the variation of the length of contact line, the unit load and the meshing efficiency along the meshing line with different modulus, for avoiding the zero-tooth thickness at the back transverse, the width of the tooth is 10 mm. In Fig. 7a, the maximum length of contact line is identical when the modulus varies, and the length of meshing

Table 1. The reference value and variation of gear parameters

<table>
<thead>
<tr>
<th>Gear parameters</th>
<th>Teeth number of pinion $z_1$</th>
<th>Teeth number of gear $z_2$</th>
<th>Pressure angle $\alpha$ [º]</th>
<th>Modulus [mm]</th>
<th>Width of tooth [mm]</th>
<th>Helical angle $\beta$ [º]</th>
<th>The radial modification coefficient of pinion (zero transmission)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reference value</td>
<td>45</td>
<td>80</td>
<td>20</td>
<td>2</td>
<td>20</td>
<td>3</td>
<td>-0.3, -0.2, -0.1, 0.1, 0.2, 0.3</td>
</tr>
<tr>
<td>Variable values</td>
<td>45</td>
<td>80</td>
<td>20</td>
<td>1, 2, 3</td>
<td>20</td>
<td>1, 2, 3, 4</td>
<td></td>
</tr>
</tbody>
</table>

Table 2. The different operation values

<table>
<thead>
<tr>
<th>Operation parameter</th>
<th>Output torque (constant input speed $\omega = 1500 \text{ r/min}$) [N·mm]</th>
<th>Input speed (constant output torque 30000 N·mm) [r/min]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Variable values</td>
<td>20000, 30000, 40000, 50000</td>
<td>1500, 2000, 2500, 3000</td>
</tr>
</tbody>
</table>
line and the radius of base circle increases with the increase of modulus. Thus, the unit load decreases with the increase of modulus, and the maximum relative sliding velocity increases with the increase of modulus while the operation is fixed. In contrast, the increase of modulus leads to the decrease of positive force and increase of the relative radius of curvature, therefore, the coefficient of friction decreases with the increase of the modulus by Eq. (14). The influences of unit load and relative sliding velocity acting on the meshing efficiency are opposite, so the variation of meshing efficiency is mainly due to the change of the coefficient of friction with different modulus.

Fig. 8 indicates the variation of the length of contact line, the unit load, coefficient of friction, the length of meshing line and the meshing efficiency along the meshing line with different helical angle, and the partial detail figures are given in Figs. 8a to c. As Fig. 8a shows, the length of contact line increases with the increase of the helical angle when the whole tooth is meshing, and the unit load decreases with the increase of the helical angle in this period. Furthermore, the instantaneous minimum meshing efficiency increases with the helical angle, as Fig. 8c illustrated, but the mean meshing efficiency in a period decrease with the helical, as Table 3 shows. Because the length of actual meshing line lengthens with the helical angle, so does friction loss during meshing in and meshing out increases.

Fig. 8. The variation with different helical angles, a) length of contact line, b) unit load, c) meshing efficiency, d) coefficient of friction and the length of meshing line

The variations of the length of contact line, unit load, coefficient of friction, the length of meshing line and meshing efficiency with different radial modification of pinion are obtained in Fig. 9, and the radial modification of gear is opposite to the pinion. The radial modification affects the meshing start, meshing destination, and the relative meshing position between the meshing teeth in different meshing periods, so the variation of relative sliding velocity caused by the change of meshing position is the main influence factor of meshing efficiency. When the radial modification of pinion is negative, the smaller modification leads to the longer distance between the meshing start and the pitch point, which results in higher relative sliding velocity during the mesh-in process, so the meshing efficiency decreases, as shown in Fig. 9c. When the modification of pinion is positive, the bigger radial modification leads to the longer distance between the meshing destination and the pitch point, which results in higher relative sliding velocity, so the curve of the meshing efficiency during the mesh-out period appears as a wave trough when the modification is 0.3.

As Figs. 10 and 11 show, the curves of meshing efficiency are provided by setting the output torque and input speed as variations. When the output torque is constant, the output power and the relative sliding velocity enlarge with the increase of the input speed, which means the increase of \( P \) and \( P_{\Sigma_{ji}} \) in Eq. (14), and the increments counteract with each other, so the variation of meshing efficiency at different input speeds is mainly caused by the variation of coefficient of friction under different input speeds. From Eq. (18), the coefficient of friction decreases along with the increase of input speed under the same output load, so the meshing efficiency increases along with the increase of input speed as shown in Fig. 10. When
the input speed is fixed, the effect of the increase of output torque on the $P$ and $P_{\Sigma f}$ in Eq. (18) is the same as above, but the increase of output torque leads to the enlargement of friction coefficient, so the meshing efficiency decreases along with the increase of output torque at the same input speed as illustrated in Fig. 11. The variations of the meshing efficiency under different operations have good agreement with the trends of experimental results in [19].

To validate our calculation, the comparison between the results in this paper and the meshing efficiency calculated by the method in [4] is carried out, and the detail is presented in Fig. 12 and Table 3. Based on the same gear parameters, the variation of mean efficiency computed by our method is closed to the efficiency calculated according to the method in [4] with different modification coefficient, as shown in Fig. 12. Furthermore, the comparisons are carried out when different modulus, helical angle and operations are considered, the corresponding results are listed in Table 3. In Table 3, the $\eta_f^{c}$ is the friction power loss computed in the current paper, $\eta_f^{r}$ is the friction power loss calculated with the formula in reference [4], and $E_r$ represents the percentage of the error between two differently calculated results.

Table 3. Comparison of friction power loss obtained by two calculating methods in our paper and reference [4]

<table>
<thead>
<tr>
<th>Variation of parameter or operation</th>
<th>$\eta_f^{c}$ [%]</th>
<th>$\eta_f^{r}$ [%]</th>
<th>$E_r$ [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Different modulus [mm]</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>0.556</td>
<td>0.534</td>
<td>0.041</td>
</tr>
<tr>
<td>2</td>
<td>0.359</td>
<td>0.352</td>
<td>0.020</td>
</tr>
<tr>
<td>3</td>
<td>0.280</td>
<td>0.276</td>
<td>0.015</td>
</tr>
</tbody>
</table>

| Different helical angle [°]       |                 |                 |          |
| 1                                 | 0.310           | 0.306           | 0.013    |
| 2                                 | 0.314           | 0.307           | 0.025    |
| 3                                 | 0.319           | 0.307           | 0.039    |
| 4                                 | 0.323           | 0.307           | 0.052    |

| Different input speed [r/min]     |                 |                 |          |
| 1500                              | 0.263           | 0.253           | 0.039    |
| 2000                              | 0.248           | 0.240           | 0.034    |
| 2500                              | 0.237           | 0.228           | 0.039    |
| 3000                              | 0.229           | 0.220           | 0.040    |

| Different output torque [N·mm]    |                 |                 |          |
| 20000                             | 0.242           | 0.233           | 0.039    |
| 30000                             | 0.263           | 0.253           | 0.039    |
| 40000                             | 0.278           | 0.268           | 0.039    |
| 50000                             | 0.291           | 0.280           | 0.039    |

4 CONCLUSION

The meshing efficiency of the proposed gear was calculated by dividing contact line and meshing line into micro-segments, and the variations of sliding velocity, contact line and unit load in a meshing period are illustrated. The variation of the length of contact line, unit load and instantaneous meshing efficiency are studied by considering the modulus, helical angles and modification coefficient as variables. In addition, the variations of meshing efficiency with different input speed and output torque were also discussed. The influences of parameters and operations on efficiency can be summarized as in Fig. 13.

It can be known from the calculation results that a larger modulus is helpful for heightening meshing efficiency while a heavier load decreases meshing efficiency, so a larger modulus can improve meshing
efficiency under heavy load. A larger helical angle increases loss during mesh-in and mesh-out processes; therefore, choosing little helical angle can reduce friction loss while the anti-backlash requirement is satisfied. Modification may increase the relative slide in the mesh-in or mesh-out period, thus, little positive or negative modification should be considered in design. In contrast, maintaining high input speed is beneficial for heightening meshing efficiency.

![Fig. 13. The influence of gear parameters and operations on meshing efficiency: a) modulus, b) helical angle, c) modification coefficient, d) operations](image)

Although the calculation of meshing efficiency presented in this paper is based on the new type of conical involute gear, the meshing process of helical gear is similar to the new type of conical involute gear, so the calculation can also apply in computing the meshing efficiency of helical gear when the influence of the tooth deformation on unit load is not taken into account.

5 ACKNOWLEDGEMENTS

This research received financial support from The National Natural Science Foundation of China (contract No. 51275538).

6 NOMENCLATURES

- \( b \) width of tooth, [mm]
- \( F \) positive force, [N]
- \( F_{ci} \) unit load, [N/mm]
- \( i_0 \) transmission ratio
- \( L \) length of contact line, [mm]
- \( l_0 \) distance between pitch point and reference point, [mm]
- \( l_F \) distance between meshing point in front transverse and reference point along meshing line when the tooth begins to mesh out, [mm]
- \( l_s \) distance between meshing point in front transverse and reference point along meshing line when the tooth begins to come into mesh, [mm]
- \( l_{Ff} \) distance between virtual meshing point in front transverse and reference point along meshing line when whole tooth comes out of mesh, [mm]
- \( l_{Fb} \) distance between meshing point in front transverse and reference point along meshing line when whole tooth just comes across pitch point, [mm]
- \( l_x \) distance between any meshing point in front transverse and reference point along meshing line, [mm]
- \( M \) output torque, [N·mm]
- \( P \) input power, [W]
- \( P_{ft} \) power of sliding friction, [W]
- \( p_b \) base pitch in transverse, [mm]
- \( r_b \) radius of base circle of pinion, [mm]
- \( v_x \) relative sliding velocity, [m/s]
- \( v_{xc} \) the sum of the tangential velocity at pitch point, [m/s]
- \( F_{bt} \) the transverse force in tangent, [N]
- \( y \) the distance between the meshing point and front transverse along, [mm]
- \( \Delta y \) the length of micro-segment along contact line, [mm]
- \( X_L \) lubricant factor
- \( z_1 \) teeth number of pinion
- \( z_2 \) teeth number of gear
- \( \alpha_0 \) engagement angle at pitch circle, [°]
- \( \alpha_{Ff} \) engagement angle in front transverse when the tooth begins to mesh out, [°]
- \( \alpha_a \) engagement angle in front transverse when the tooth begins to come into mesh, [°]
- \( \alpha_z \) engagement angle in front transverse at any moment, [°]
- \( \beta_b \) helical angle of base circle, [°]
- \( \eta \) meshing efficiency
- \( \mu \) coefficient of friction between the meshing surfaces
\( \rho_c \) curvature radius of the tooth profile at the pitch point in transverse, [mm]

Subscripts

\( i \) the position of meshing point along contact line
\( j \) the position of meshing point along meshing line in front transverse
\( k \) the number of meshing teeth

7 REFERENCES


